

# CBSE | DEPARTMENT OF SKILL EDUCATION

## INTRODUCTION TO FINANCIAL MARKETS (SUBJECT CODE - 405)

### Blue-print for Sample Question Paper for Class X (Session 2022-2023)

Max. Time: 2 Hours

Max. Marks: 50

#### PART A - EMPLOYABILITY SKILLS (10 MARKS):

UNIT NO.	NAME OF THE UNIT	OBJECTIVE TYPE QUESTIONS	SHORT ANSWER TYPE QUESTIONS	TOTAL QUESTIONS
		1 MARK EACH	2 MARKS EACH	
1	Communication Skills - II	-	-	-
2	Self-Management Skills - II	2	2	4
3	ICT Skills - II	2	1	3
4	Entrepreneurial Skills - II	2	2	4
5	Green Skills - II	-	-	-
<b>TOTAL QUESTIONS</b>		<b>6</b>	<b>5</b>	<b>11</b>
<b>NO. OF QUESTIONS TO BE ANSWERED</b>		<b>Any 4</b>	<b>Any 3</b>	<b>07</b>
<b>TOTAL MARKS</b>		<b>1 x 4 = 4</b>	<b>2 x 3 = 6</b>	<b>10 MARKS</b>

#### PART B - SUBJECT SPECIFIC SKILLS (40 MARKS):

UNIT NO.	NAME OF THE UNIT	OBJECTIVE TYPE QUESTIONS	SHORT ANSWER TYPE QUESTIONS	DESCRIPTIVE/ LONG ANS. TYPE QUESTIONS	TOTAL QUESTIONS
		1 MARK EACH	2 MARKS EACH	4 MARKS EACH	
1.	Investment Basics	4			4
2.	Securities	2			2
3.	Primary Market	4	1	1	6
4.	Secondary Market	4	1	1	6
5.	Derivatives	2			2
6.	Depository	2	1		3
7.	Mutual Funds	1		1	2
8.	Miscellaneous	2	1	1	4
9.	Concepts & Modes of Analysis	2	1	1	4
10	Ratio Analysis	1	1		2
<b>TOTAL QUESTIONS</b>		<b>24</b>	<b>6</b>	<b>5</b>	<b>35</b>
<b>NO. OF QUESTIONS TO BE ANSWERED</b>		<b>20</b>	<b>Any 4</b>	<b>Any 3</b>	<b>27</b>
<b>TOTAL MARKS</b>		<b>1 x 20 = 20</b>	<b>2 x 4 = 8</b>	<b>4 x 3 = 12</b>	<b>40 MARKS</b>

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## INTRODUCTION TO FINANCIAL MARKETS (SUBJECT CODE - 405)

### Sample Question Paper for Class X (Session 2022-2023)

Max. Time: 2 Hours

Max. Marks: 50

#### General Instructions:

1. Please read the instructions carefully.
2. This Question Paper consists of **21 questions** in two sections: Section A & Section B.
3. Section A has Objective type questions whereas Section B contains Subjective type questions.
4. **Out of the given (5 + 16 =) 21 questions, a candidate has to answer (5 + 10 =) 15 questions in the allotted (maximum) time of 2 hours.**
5. All questions of a particular section must be attempted in the correct order.
6. **SECTION A - OBJECTIVE TYPE QUESTIONS (24 MARKS):**
  - i. This section has 05 questions.
  - ii. Marks allotted are mentioned against each question/part.
  - iii. There is no negative marking.
  - iv. Do as per the instructions given.
7. **SECTION B – SUBJECTIVE TYPE QUESTIONS (26 MARKS):**
  - i. This section has 16 questions.
  - ii. A candidate has to do 10 questions.
  - iii. Do as per the instructions given.
  - iv. Marks allotted are mentioned against each question/part.

## SECTION A: OBJECTIVE TYPE QUESTIONS

<b>Q. 1</b>	<b>Answer any 4 out of the given 6 questions on Employability Skills (1 x 4 = 4 marks)</b>	
<b>i.</b>	If students are unable to meet their assignment submission deadlines then which type of stress may induce? a) Mental stress b) Physical stress c) Social stress d) Positive stress	<b>1</b>
<b>ii.</b>	Where are the Files and folders deleted by the user stored in the computer? a. Control Panel b. Recycle Bin c. Search Box d. Menu Bar	<b>1</b>
<b>iii.</b>	Which ancient practice includes a series of postures and breathing exercises practiced to achieve control of body and mind ? a) Meditation b) Physical Exercise c) Listening music d) Yoga	<b>1</b>
<b>iv.</b>	How do Entrepreneurs innovate into the market? a.by bringing unique and new products and services b.by doing exercises c.by contesting elections d.by wastage of natural resources	<b>1</b>
<b>v.</b>	Which of the following shortcut keys is used to paste a file? (a) Ctrl + C (b) Ctrl + P (c) Ctrl + V (d) Ctrl + X	<b>1</b>
<b>vi.</b>	State <b>any one</b> function of an entrepreneur.	<b>1</b>

<b>Q2.</b>	<b>Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)</b>	
<b>i.</b>	Which of the following is an example of Physical Asset? a. Real Estate b. Fixed Deposit c. Provident Fund d. Shares	<b>1</b>
<b>ii.</b>	What is the other name of Preferential issues ? a. Public Issue b. Rights issue c. Private Placement d. Bank Issue	<b>1</b>
<b>iii.</b>	Name a customized contract between two entities, where settlement takes place on a specific date in the future at today's pre-agreed price. a.Forward contract b.Future contract c. Calls d. Options	<b>1</b>

<b>iv.</b>	<p>“NSE introduced a nationwide, online, and fully automated SBTS where a member can punch into the computer the quantities of a security and the price at which he would like to transact, and the transaction is executed as soon as a matching sale or buy order from a counter party is found.”</p> <p>Write the full form of SBTS?</p>	<b>1</b>
<b>v.</b>	<p>Where an investor can lodge complaint against brokers on certain trade disputes or non-receipt of payment/securities?</p> <p>a. The Investor Grievances Cell (IGC) b. The Investment Grievances Cell (IGC) c. The Investor Grievances Centre (IGC) d. The Investor Grief Call (IGC)</p>	<b>1</b>
<b>vi.</b>	Give any one similarity between Bank and Depository.	<b>1</b>

<b>Q3.</b>	<b>Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)</b>	
<b>i.</b>	<p>Which amongst the following is <b>NOT</b> a Credit rating agencies in India</p> <p>a. CRISIL b. Public Sector c. ICRA d. Fitch</p>	<b>1</b>
<b>ii.</b>	<p>“ Ram opened a fixed deposit account with a bank.”</p> <p>What is the other name of fixed deposit account with banks?</p>	<b>1</b>
<b>iii.</b>	<p>“The regulator ensures that the market participants behave in a desired manner so that securities market continues to be a major source of finance for corporate and government and the interest of investors are protected. “</p> <p>Name any one Regulator of the Securities .</p>	<b>1</b>
<b>iv.</b>	<p>Fixed Assets and Investments are examples of</p> <p>a.Sources of Funds b.Application of Funds c.Loan Funds d.Shareholder’s Funds</p>	<b>1</b>
<b>v.</b>	<p>When a security is sold above its face value, it is said to be issued at a</p> <p>a. Discount b. Premium c. Par Value d. Maturity</p>	<b>1</b>
<b>vi.</b>	<p>Which amongst the following institutions can <b>NOT</b> be a DP ?</p> <p>a. Banks b. Financial Institutions c. SEBI registered trading members d. CARE</p>	<b>1</b>

<b>Q4.</b>	<b>Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)</b>	
i.	Full form of ADR is _____ a. American Depositary Receiving b. American Depositary Receipt c. American Depositary Reform d. American Depositary Range	<b>1</b>
ii.	Name <b>any two</b> commodities which can be considered as underlying asset in the Commodity Derivatives Market.	<b>1</b>
iii.	Define 'Maturity Date'?	<b>1</b>
iv.	What does Debt-Equity Ratio reflects? a. relative contributions of debtors and owners to finance the business. b. relative contributions of creditors and owners to finance the business. c. relative contributions of promoters and owners to finance the business. d. relative contributions of producers and owners to finance the business.	<b>1</b>
v.	Name the barometer of the Indian markets. a. NEAT b. SBTS c. ICRA d. Nifty	<b>1</b>
vi.	Mr. Vijay is a broker. His registration number begins with the letters a. IND b. INT c. INS d. INB	<b>1</b>
<b>Q5.</b>	<b>Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)</b>	
i.	Calculate the maximum brokerage that can be charged by a broker from one of his clients, Mr. Jai as commission when Mr. Jai purchases 50 shares of ABC Ltd @ ₹ 10.  a. ₹ 13.50 b. ₹ 12.50 c. ₹ 11.50 d. ₹ 10.50	<b>1</b>
ii.	As per accounting convention, all fixed assets except land have a fixed life. It is assumed that every year the worth of an asset falls due to usage. Name the term used in accounting convention. a. Appreciation b. Gross Profit c. Maturity d. Depreciation	<b>1</b>
iii.	Write the full form of NEAT .	<b>1</b>
iv.	Name the organization with which all the mutual funds must get registered.	<b>1</b>
v.	Choose the <b>odd</b> one out: a. Public Provident Fund b. Post Office Savings ( for 6 years) c. Company Fixed Deposits of 5 years d. Liquid Funds	<b>1</b>
vi.	Choose the correct statement	<b>1</b>

	<p>a. "The securities market has two interdependent segments: the primary (new issues) market and the Tertiary market."</p> <p>b. "The securities market has two interdependent segments: the Derivatives market and the Tertiary market."</p> <p>c. "The securities market has two interdependent segments: the primary (new issues) market and the secondary market."</p> <p>d. "The securities market has two interdependent segments: the primary (new issues) market and the Dealer ."</p>	
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## **SECTION B: SUBJECTIVE TYPE QUESTIONS**

**Answer any 3 out of the given 5 questions on Employability Skills (2 x 3 = 6 marks)**

**Answer each question in 20 – 30 words.**

<b>Q.6</b>	State any <b>two</b> qualities of entrepreneurship.	<b>2</b>
<b>Q.7</b>	Write any <b>two</b> importance of the ability to work independently .	<b>2</b>
<b>Q.8</b>	State any <b>two</b> recreational activities which can help individuals transcend to a happier mental state and help manage stress.	<b>2</b>
<b>Q.9</b>	Give the meaning of any two Mobile operating Systems.	<b>2</b>
<b>Q.10</b>	<p>"XYZ was an ambitious woman and wanted to achieve something in her professional career. In her struggle and fight against all odds, XYZ eventually succeeded in becoming a brand herself."</p> <p>a. Name the kind of entrepreneurial activity.</p> <p>b. Write any one step which an entrepreneur takes to build an organisation.</p>	<b>2</b>

**Answer any 4 out of the given 6 questions in 20 – 30 words each (2 x 4 = 8 marks)**

<b>Q.11</b>	Write <b>any two</b> differences between offer of shares through book building and offer of shares through normal public issue?	<b>2</b>																								
<b>Q.12</b>	<p>Calculate the <b>Gross Profit Ratio</b> from the following information:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Particulars</th> <th>Amount (Rs)</th> <th>Particulars</th> <th>Amount (Rs)</th> </tr> </thead> <tbody> <tr> <td>Opening Stock</td> <td>10000</td> <td>Sales</td> <td>80000</td> </tr> <tr> <td>Purchase</td> <td>30000</td> <td>Closing Stock</td> <td>30000</td> </tr> <tr> <td>Wage and Salaries</td> <td>50000</td> <td></td> <td></td> </tr> <tr> <td>Gross Profit</td> <td>20000</td> <td></td> <td></td> </tr> <tr> <td></td> <td><b>110000</b></td> <td></td> <td><b>110000</b></td> </tr> </tbody> </table>	Particulars	Amount (Rs)	Particulars	Amount (Rs)	Opening Stock	10000	Sales	80000	Purchase	30000	Closing Stock	30000	Wage and Salaries	50000			Gross Profit	20000				<b>110000</b>		<b>110000</b>	<b>2</b>
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<b>Q.13</b>	"There are two depositories in India which provide dematerialization of securities. ". Name them.	<b>2</b>																								
<b>Q.14</b>	<p>Explain any <b>two</b> of the following terms :</p> <p>a. Authorized capital</p> <p>B. Issued capital</p> <p>c. Subscribed capital</p>	<b>2</b>																								
<b>Q.15</b>	What is Dividend Yield? How is it calculated?	<b>2</b>																								

<b>Q.16</b>	Explain the following terms: a. Bid Price b. Ask Price	<b>2</b>

**Answer any 3 out of the given 5 questions in 50– 80 words each (4 x 3 = 12 marks)**

<b>Q.17</b>	<p>“A mutual fund is a type of investment vehicle consisting of a portfolio of stocks, bonds, or other securities.</p> <p>Mutual funds give small or individual investors access to diversified, professionally managed portfolios at a low price.</p> <p>Mutual funds are divided into several kinds of categories, representing the kinds of securities they invest in, their investment objectives, and the type of returns they seek. They do not provide assured returns.</p> <p>The risk factor is always present in the mutual funds as the investment is made in a variety of financial instruments including equities, debt, government securities and so on.”</p> <p>On the basis of the information given in the above statement explain the four types of risks involved in investing in Mutual Funds.</p>	<b>4</b>
<b>Q.18</b>	<p>Explain the following terms in brief :</p> <p>a. Record Date b. No-Delivery Period c. Ex-Dividend Date d. Ex- Date</p>	<b>4</b>
<b>Q.19</b>	<p>“There are the two factors that influence the price of a stock”. Explain them.</p>	<b>4</b>
<b>Q.20</b>	<p>‘Primary Market plays a very important role in the Securities Market’. Justify the statement.</p>	<b>4</b>
<b>Q.21</b>	<p>a. What does Profit and Loss Account (Income Statement) shows? b. Briefly explain the various method of systematically analyzing a company?</p>	<b>4</b>