

CBSE | DEPARTMENT OF SKILL EDUCATION

FINANCIAL MARKET MANAGEMENT (SUBJECT CODE-805)

CLASS XII (SESSION 2021-2022) BLUE-PRINT FOR SAMPLE QUESTION PAPER FOR TERM -1

Max. Time Allowed: 90 Minutes (1½ Hrs.)

Max. Marks: 30

PART A - EMPLOYABILITY SKILLS (05 MARKS):

UNIT NO.	NAME OF THE UNIT	NO. OF QUESTIONS (1 MARK EACH)
1	Communication Skills-IV	2
2	Self-Management Skills-IV	2
3	Information and Communication Technology Skills-IV	2
TOTAL QUESTIONS		6 Questions
NO. OF QUESTIONS TO BE ANSWERED		Any 5 Questions
TOTAL MARKS		1 x 5 = 5 marks

PART B - SUBJECT SPECIFIC SKILLS (25 MARKS):

UNIT NO.	NAME OF THE UNIT	NO. OF QUESTIONS (1 MARK EACH)
1	Introduction to Indian Securities Market and Trading Membership	15
2	Trading	15
3	Clearing and Settlement, Legal framework	2
TOTAL QUESTIONS		32 Questions
NO. OF QUESTIONS TO BE ANSWERED		25 Questions
TOTAL MARKS		1 x 25 = 25 MARKS

CBSE | DEPARTMENT OF SKILL EDUCATION

FINANCIAL MARKET MANAGEMENT (SUBJECT CODE-805)

CLASS XII (SESSION 2021-2022) SAMPLE QUESTION PAPER FOR TERM -1

Max. Time Allowed: 90 Minutes (1½ Hrs.)

Max. Marks: 30

General Instructions:

1. Please read the instructions carefully
2. This Question Paper is divided into 03 sections, viz., Section A, Section B and Section C.
3. Section A is of 05 marks and has 06 questions on Employability Skills.
4. Section B is of 20 marks and has 25 questions on Subject specific Skills.
5. Section C is of 05 marks and has 07 competency-based questions.
6. Do as per the instructions given in the respective sections.
7. Marks allotted are mentioned against each section/question.
8. All questions must be attempted in the correct order

SECTION A

Answer any 5 questions out of the given 6 questions on Employability Skills

(1 x 5 = 5 marks)

1.	Which of the following is not a stage of active listening? a. Receiving b. Non-responding c. Responding d. Remembering	1
2.	Which of the following is not a key communication Skill? a. Listening b. Speaking c. Reading d. Non-Evaluating	1
3.	'An individual's motivation may come from within' How? a. Intrinsic Motivation b. Extrinsic Motivation c. External Motivation d. Outer Motivation	1

4.	Which of the following is characterized by an extreme feeling of self-importance? a. Social–Personal disorder b. Narcissistic personality disorder c. Borderline personality disorder d. Dependent personality disorder	1
5.	A _____ is an arrangement of cells in a Vertical manner. a. Column b. Row c. Cell d. Worksheet	1
6.	Which of the following features is used to perform Minus in spreadsheets? a. Format option b. Charts c. Graphs d. Formula	1

SECTION B

Answer any 20 questions out of the given 25 questions

(1 x 20 = 20 marks)

7.	Name the informal market where the trades are negotiated. a. Primary Market b. Exchange Traded Market c. Over- the -Market d. Over- the -Counter Market	1
8.	Which of the following Entity not regulates the Participants of the Securities Market? a. Securities and Exchange Board of India (SEBI) b. Reserve Bank of India (RBI) c. Ministry of External Affairs(MEA) d. Ministry of Corporate Affairs(MCA)	1
9.	Which Market segment was initially introduced in National Stock Exchange? a. Retail Debt Market b. Wholesale Debt Market c. Currency Derivatives Market d. Over-the –Counter Market	1
10.	What does T+2 Rolling settlement Mean? a. Weekly settlement b. Trade will get settled on the same day c. Trade will get settled in two working days d. It is non-settlement Period	1

11.	Which entity cannot apply for the membership in National Stock Exchange? a. Social Welfare societies b. Individuals c. Partnership Firms d. Body Corporate	1
12.	Which category of membership entitles a member to execute trades and to clear and settle the trades executed on his own account as well as on account of his clients? a. Trading Member b. Trading cum Clearing Member c. Self-Clearing Member d. Professional Clearing Member	1
13.	Which of the following is not true about the foreign entities who allowed taking trading membership in National Stock Exchange? a. The promoting foreign entity or its subsidiary should be either a bank or insurance organization regulated by the Central Bank or such other appropriate regulatory authority of that country. b. The relevant authority of such country should be a member of International Organization of Securities Commission (IOSCO). c. The promoting foreign entity is one whose domestic arm or subsidiary is registered with SEBI for participation in any domestic venture for custodial or Asset Management services. d. The net worth of the entity having controlling stake in the applicant company or the promoting foreign entity varies country to country.	1
14.	Is there any lock in period of deposits of the trading member applicable in case of surrender of trading membership? a. Yes, if the member SEBI registered but not enabled b. No , if the member SEBI registered but not enabled c. Yes, if the member not get defaulter d. No , there is no lock-in at all	1
15.	Which of the following the trading member is not deemed guilty of misconduct? a. Improper conduct. b. Failure to submit to or abide by arbitration. c. Failure to carry out transactions with constituents. d. Failure to submit audited accounts.	1
16.	Which of the following conditions the relevant authority may require a trading member to suspend its business in part or in whole. a. Unsatisfactory Financial Condition b. Satisfactory Financial Condition c. Unsatisfactory Social Condition d. Unsatisfactory Market Condition	1
17.	Which of the following is true about the Authorized Person? a. Member of Stock Exchange b. Member of Regulatory c. Issue Contract Notes d. Provides access to trading platform of a stock exchange as an agent of the stock broker.	1

18.	Which Preliminary document require from where the Trading Member able to seek information such as investor’s risk profile and financial profile? a. Uniform Requirement b. Know Your Client (KYC) requirements c. Member Constituent Agreement (MCA) d. Unique Client Code	1
19.	How trading system does operate in National Stock Exchange? a. Strict time priority only b. Strict Price priority only c. Strict Price/time priority d. Strict Quantity priority only	1
20.	How the trading system does provide tremendous flexibility to the users in terms of kinds of orders that can be placed on the system? a. IOC/DAY/STOP LOSS orders b. Trade Cancellation c. Trade Modification d. Trade Regenerate	1
21.	Which order book is visible to all market participants? a. Closed Book b. Open-ended Book c. Closed-Ended Book d. Open Book	1
22.	Which Market segment Regular Lot Order size is considered ‘One’ Only? a. Odd Lot Market b. Currency Derivatives Market c. Commodity Derivatives Market d. Normal Market	1
23.	Which Market Phase the Trading Member Carry out the Activity of Set up Market Watch? a. Opening b. Opened c. Open d. Normal Market Open Phase	1
24.	How much time the temporary sign off is automatically activated when the user is inactive? a. After 5 minutes. b. After 10 minutes. c. For a period of 15 minutes. d. It never go inactive	1
25.	Which window/ Trade Screen need to access to put forward the request of Trade Cancellation? a. Market By Price b. Activity Log c. Previous Trade d. Outstanding Orders	1

26.	<p>When a user logs in for the first time, What default password provided by the exchange?</p> <ul style="list-style-type: none"> a. NeatCM1 b. Neat@CM1 c. NEATCM d. Neat@CM 	1
27.	<p>Find the incorrect information of Auction Inquiry window.</p> <ul style="list-style-type: none"> a. S- Auction is in Solicitor Period b. F- Auction is over c. X - Auction is deleted d. P - Auction is placed 	1
28.	<p>How does the user select the portfolio for basket Trading?</p> <ul style="list-style-type: none"> a. Open Amount Edit Box b. Open Combo Box c. Open Portfolio Box d. Open Market Watch window 	1
29.	<p>What 'P' indicates in Market inquiry Window?</p> <ul style="list-style-type: none"> a. Pro Orders b. The security is in Pre Open c. Preliminary Orders d. Pending Orders 	1
30.	<p>How the indices do labeled in multiple index broadcast window?</p> <ul style="list-style-type: none"> a. Vertically b. Horizontally c. Straight d. Upwards 	1
31.	<p>Find the process of delivering securities to the clearing corporation to effect settlement of a sale transaction.</p> <ul style="list-style-type: none"> a. Securities Pay out b. Securities Pay in c. Funds Pay out d. Funds pay in 	1

SECTION C
(COMPETENCY BASED QUESTIONS)

Answer any 5 questions out of the given 7 questions

(1 x 5 = 5 marks)

	<p>Read the passage carefully and answer the questions (32-38):</p> <p>National stock Exchange was the first stock exchange which introduced Screen Based Trading System. Like many online traders Mr. Amit is also a master trader in the stock market and frequently place orders on real time basis. He is very familiar with NEAT Screen and its features. He knows how to place order limit as on Regular lot basis as it could be DAY/IOC orders. During the trade he sees his passive orders, security descriptor and small case basket trading, he knows how to set up and customized market watch window. He knows the trade occurs on price/time priority basis but not quantity appraisal. There are not any geographical preferences. Anonymity of each trades follow in SBTS. He takes the glimpse of preopen market order to make his strategy in the open phase of the market. Recently he went in one school where the students were studying Financial Market Management as core subject, they were learning the techniques of NEAT terminal .During the session he asked various questions to students regarding trading technicalities.</p>	
32.	<p>There is one system introduced by NSE for Nationwide Online Trading? Do you know it?</p> <p>a. Corporate Hierarchy b. Client database c. Screen Based Trading System d. Basket trading</p>	1
33.	<p>Mr. A put buy order from New Delhi office and in same security Mr. B put same type of order from Mumbai near to NSE office. Whose order will execute First?</p> <p>a. Mr. A b. Mr. B c. It's on Price /Time Priority basis no geographical location preference d. Preference will be given on quantity basis only</p>	1
34.	<p>Does National Stock Exchange allow online trading between two known parties (bilateral trade) through SBTS?</p> <p>a. Yes ,its allowed b. Yes but cashless c. No, SBTS basic feature is that the trade will take place anonymously. d. Yes but only in weekend trading</p>	1
35.	<p>Name the Trading Terminal introduced by NSE Under SBTS?</p> <p>a. National Exchange for Automated Trading b. NSE online Trading Terminal c. Open Dealer integrated Network d. Trade for Trade Terminal</p>	1

36.	<p>In NEAT Trading terminal you can buy even a one share as regular lot in the normal market. Is it True?</p> <ul style="list-style-type: none"> a. No ,it's not True b. Yes , it was True but currently not available c. Yes, it's true here the regular lot size is one share in the normal market. d. No, NEAT is not providing such kind of facility. 	1
37.	<p>Can you set market watch of Nifty50 securities on NEAT Terminal?</p> <ul style="list-style-type: none"> a. Yes, all Nifty50 stocks can set up or by default on market watch screen. b. Yes but Partial stock can set up c. Index not permitted d. No, it's not applicable 	1
38.	<p>Through trading terminal can you buy nifty50 index?</p> <ul style="list-style-type: none"> a. Yes, It trades like a share b. Yes, It trades like a debt c. Yes, It trades like a underlying asset i.e. Nifty Future d. No, it's not feasible 	1