

CAPITAL MARKET OPERATIONS (793)

Sample Question Paper

ClassXII - 2018-19

Time: 3Hours

Max. Marks:60

General Instructions:

1. Question paper is divided into two sections: Section-A and Section- B.
2. Section–A:
 - i. Multiple choice question/Fill in the blanks/Direct Questions of 1 mark each. Answer any 10 questions out of the given 12 questions.
 - ii. Very Short Answer of 2 marks each. Answer any 5 questions from the given 7 questions.
 - iii. Short Answer of 3 marks each. Answer any 5 questions from the given 7 questions.
3. Section–B: Long/Essay type questions of 5 marks each. Answer any 5 questions from the given 7 questions.
4. All questions of a particular section must be attempted in the correct order.
5. Please check that this question paper contains 33 questions out of which 25 questions are to be attempted.
6. The maximum time allowed is 3 hrs.

SECTION –A

Answer any 10 questions out of the given 12 questions:

1. What are the two interdependent segments of securities market? (1)
2. Define Demutualization? (1)
3. Define dematerialisation? (1)
4. How Net Worth of the member is calculated? (1)
5. What is unwarrantable business of the member? (1)
6. What is Unique Client Code? (1)
7. What is VSAT System? (1)
8. What pay in day mean? (1)
9. Describe Direct Payout to Investor? (1)
10. Write about short deliveries? (1)
11. Write about company objections? (1)
12. Whose are consider insider in insider trading act? (1)

Very Short Questions: (2 marks each).

Answer any 5 questions out of the given 7 questions:

13. Discuss the market segments and their products? (2)

14. Describe Arbitration? (2)
15. Write about temporary sign off? (2)
16. How market information display on market watch? (2)
17. Describe outstanding order window? (2)
18. Write the functions of clearing bank? (2)
19. Write EPS and PE ratio with its examples? (2)

ShortQuestions: (3marks each).

Answer any 5 questions out of the given 7 questions:

20. Explain key indicator of securities market? (3)
21. Give detail of Index Based Market Wide Circuit Breaker? (3)
22. Explain corporate Hierarchy? (3)
23. Explain Capital Gain Tax and its types under income tax act, 1996? (3)
24. The Depositories Act defines the rights and obligations of depositories, participants, issuers and beneficial owners. Explain briefly. (3)
25. Explain Indian Contract Act, 1872? (3)
26. What are the popular tools to understand the financial statements? Give brief of each? (3)

SECTION –B

Long/Essay type questions (5 marks each).

Answer any 5 questions out of the given 7 questions:

27. Explain the procedure of surrender of Trading Memberships? (5)
28. Write the admission procedure of new memberships? (5)
29. Explain NEAT? (5)
30. Explain Trade workstation of the Member (Market Watch window)? (5)
31. Explain different phases of the Regular Market? (5)
32. Explain the Risk in Settlements of Day to Day Trading? (5)
33. Explain All Daily Margin Payments? (5)